

# JOURNAL OF THE AMERICAN CHEMICAL SOCIETY

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VOLUME 93, NUMBER 1

JANUARY 13, 1971

## Physical and Inorganic Chemistry

### Vibrational Analyses of Liquid Water and the Hydronium Ion in Aqueous Solution<sup>1</sup>

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Received May 12, 1970

**Abstract:** A vibrational analysis of liquid water, considered as a mixture of molecules with different numbers of hydrogen bonds, is reported. A nine-atom model consisting of H<sub>2</sub>O surrounded tetrahedrally by two oxygens and two OH groups is used for the fully bound species. Molecules in lower states of hydrogen bonding are represented by removing one or more of the peripheral groups. Frequencies are calculated for intramolecular bending and stretching modes as well as for intermolecular stretching and bending of hydrogen bonds. Apart from discrepancies in the stretching modes of ~100 cm<sup>-1</sup>, substantial agreement with experimentally based assignments for different water species, due mainly to Walrafen, is obtained. It is predicted that the HDO bending band should broaden with increasing temperature, and evidence for strong intermolecular coupling of librational modes is presented. Observed frequencies of 60 cm<sup>-1</sup> and less are ascribed to intermolecular bending modes. Calculations for H<sub>3</sub>O<sup>+</sup> using a comparable model are also reported and compared with measured frequencies of H<sub>3</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup> crystals and H<sub>3</sub>O<sup>+</sup> in solutions of sulfur dioxide and water. The calculated frequencies for aqueous H<sub>3</sub>O<sup>+</sup> are subjected to the condition that they reproduce the equilibrium constant for the isotopic exchange process, 2D<sub>2</sub>O<sup>+</sup>(aq) + 3H<sub>2</sub>O(g) ⇌ 2H<sub>3</sub>O<sup>+</sup>(aq) + 3D<sub>2</sub>O(g). It is concluded that the reported intramolecular frequencies are too high. This supports an earlier conclusion [Th. Ackermann, *Z. Phys. Chem. (Frankfurt am Main)*, **27**, 253 (1961)] that in aqueous solution the vibrational spectrum of H<sub>3</sub>O<sup>+</sup> is obscured by the high rate of proton transfer between solvent molecules.

In the past few years, interest in the structure of water has prompted a number of careful measurements of its infrared, Raman,<sup>5-17</sup> near-infrared,<sup>17,18</sup> and neutron

inelastic scattering<sup>17,19</sup> spectra. There have also been several investigations of the vibrational spectra of hydronium ion salts and their solutions.<sup>5,15,20-28</sup> As

(1) Presented in part at the 159th National Meeting of the American Chemical Society, Houston, Texas, Feb 1970, Abstract PHYS 009; based in part upon a Ph.D. thesis submitted by G. W. Koepl to the Illinois Institute of Technology, June 1969.

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a preliminary to carrying out calculations of solvent deuterium isotope effects upon acid-catalyzed reactions,<sup>29</sup> we have performed vibrational analyses for models of water and the hydronium ion in which the molecule and ion are situated in tetrahedral hydrogen-bonded lattices. The vibration frequencies calculated are reported here.

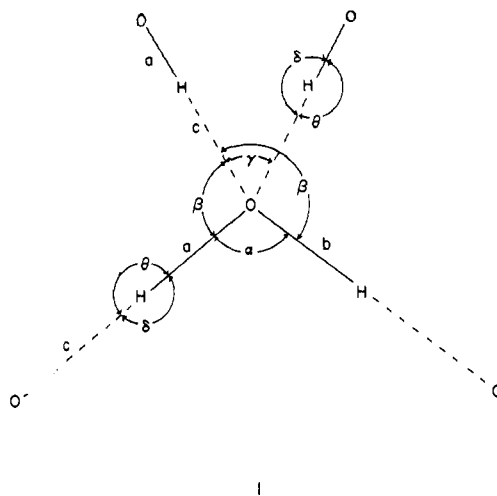
As is well known, there have been differences in the interpretation of the spectra of liquid water, and in particular of the origin of the temperature-dependent asymmetry observed for the broad bands corresponding to the O-H stretching vibrations. The favored interpretation usually has depended on whether water is viewed as a "continuum" of hydrogen-bonded species, in which the length or angle of the bonds varies from molecule to molecule, or as a "mixture" of discrete species possessing integral numbers of hydrogen bonds. In the former view the spectral asymmetry is normally ascribed to overlap of the stretching region with an overtone of the bending frequency strengthened by Fermi resonance.<sup>11,12,30</sup> In the latter it has been ascribed to the presence of molecules with different numbers of hydrogen bonds, each of which possesses a distinct absorption spectrum.<sup>6-10</sup>

No attempt is made here to enter directly into this discussion. However, adoption of the mixture model has permitted resolution of the observed band contours into symmetric components which can be specifically assigned to molecules in different states of hydrogen bonding.<sup>7-9</sup> The legitimacy of this procedure depends on the assumption that the gross features of the spectrum in the stretching region are determined by the immediate local environment of a water molecule, and it follows that it should be possible to reproduce the frequency assignments by a normal coordinate analysis based on a relatively simple model of the type used in the calculations reported here. A comparison between observed and calculated frequencies should therefore provide a test of the model. In this paper the comparison is confined to the mixture model because the continuum model is less susceptible to vibrational analysis. In the calculations for the hydronium ion simple extensions of the models for water are used.

## Calculations and Results

**Water.** The model chosen for the calculations was a water molecule tetrahedrally bonded to two oxygen atom fragments and two O-H groups (1). It is similar to one previously used for ice.<sup>31</sup> The symmetry of the

model is  $C_{2v}$  and the bond lengths and angles to which different force constants were assigned, in this and in modified models, are indicated by Latin and Greek letters. The O-H covalent and hydrogen-bond lengths



were taken as 1.0 and 1.76 Å, respectively, close to the values for ice. The vibration frequencies were calculated using the Wilson FG matrix method.<sup>32</sup> The model has 9 atoms and yielded 22 frequencies including one redundancy. Eigenvectors were calculated and used to assign the frequencies to specific modes. Calculations were also made for incompletely hydrogen-bonded species using the same model but with one or more of the peripheral O or OH groups removed.

Stretching force constants were chosen to reproduce the frequencies observed and assignments made by Walrafen.<sup>9</sup> Walrafen has reported stretching frequencies for hydrogen-bonded and non-hydrogen-bonded molecules of pure H<sub>2</sub>O,<sup>7</sup> HDO in H<sub>2</sub>O,<sup>8</sup> and HDO in D<sub>2</sub>O,<sup>9</sup> obtained by resolution of the observed Raman bands into gaussian components. Partly for the sake of consistency, Walrafen's measurements of the intermolecular frequencies, both hindered translations,<sup>6</sup>  $\nu_T$ , and librations,<sup>7</sup>  $\nu_L$ , were also used. Comparison with other values indicates good agreement,<sup>9</sup> and although recent measurements yield a somewhat larger value for the highest librational frequency,<sup>19</sup> the difference does not affect the conclusions presented here. The bending frequency,  $\nu_2$ , was taken from Weston's Raman measurements.<sup>13</sup> There have been no attempts to resolve this band into components and it has been found that its intensity<sup>7</sup> and frequency<sup>12</sup> vary only slightly with temperature. It was assumed, therefore, that the frequency was unaffected by the extent of hydrogen bonding.

The observed frequencies are listed in Table I. The band at 60 cm<sup>-1</sup> has been considered to be either a hindered translation or a low-frequency hydrogen-bond bending mode, and is denoted  $\nu_b$  or  $\nu_T$ . No intermolecular frequencies have been reported in any detail for HDO. The assignments have been grouped into two phenomenological categories of bound and unbound molecules.<sup>9</sup> The relation of these categories to the actual water species with zero, one, two, three, or four hydrogen bonds, postulated by the mixture model, depends on the isotopic molecule and vibrational mode

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**Table I.** Frequency Assignments from Observed Spectra for Liquid Water<sup>a</sup>

	Bound			Unbound		
	H <sub>2</sub> O	D <sub>2</sub> O <sup>b</sup>	HDO	H <sub>2</sub> O	D <sub>2</sub> O	HDO
$\nu_1^c$	3247		2525	3535		2645
$\nu_3^c$	3435		3444 <sup>d</sup>	3622		3628 <sup>d</sup>
$\nu_2^e$	1645	1210	1450	1645	1210	1450
	722	~550				
$\nu_L$	550	~375				
	450					
$\nu_T$	166	167				
$\nu_T$ OF $\nu_b$	60	60				

<sup>a</sup> Frequencies in  $\text{cm}^{-1}$  are taken from Walrafen's Raman measurements<sup>8-9</sup> except as indicated. All frequencies were reported at 25° except  $\nu_1$  and  $\nu_3$  which are averages over a range of temperature (~10–95°). <sup>b</sup> Intermolecular frequencies for D<sub>2</sub>O have been analyzed in less detail than for H<sub>2</sub>O. <sup>c</sup> Assignments to  $\nu_1$  and  $\nu_3$  followed the relative frequency magnitudes in the gas phase. For HDO, O–H and O–D frequencies are shown. <sup>d</sup> Based on peak and shoulder reported for solutions of 2.8 M H<sub>2</sub>O in D<sub>2</sub>O.<sup>9</sup> <sup>e</sup> Reference 13.

considered as well as the interpretation put on the assignment. This point will be considered below.

The frequencies calculated from the completely hydrogen-bonded molecule **1** are listed in Table II. The

**Table II.** Calculated Vibration Frequencies for Fully Hydrogen-Bonded Water in a Tetrahedral Lattice<sup>a</sup>

	H <sub>2</sub> O	D <sub>2</sub> O	HDO in H <sub>2</sub> O	HDO in D <sub>2</sub> O
$\nu_1^b$	3355	2425	2505	2505
$\nu_3^b$	3550	2605	3460	3460
$\nu_2$	1645	1200	1450	1450
	715	530	710	695
$\nu_L$	710	500	460	515
	645	470	460	510
	530	380	610	400
$\nu_d$	530	380	600	390
	530	380	530	380
	(580)	(420)	(580)	(420)
	168	161	165	163
$\nu_T$	168	161	165	163
	167	161	164	162
	105	104	105	103
$\nu_b$	54–35	53–35	54–35	53–35

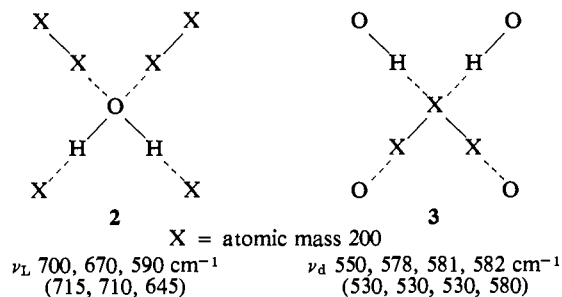
<sup>a</sup> Frequencies in  $\text{cm}^{-1}$ . The vibration frequencies for the peripheral O–H and O–D groups in models **1**, **3**, **4**, and **5** were 3445 and 2505  $\text{cm}^{-1}$ , respectively, and are not listed in the tables. <sup>b</sup> The interaction constant  $f_{ab}$  was chosen to give  $\nu_3 > \nu_1$  as in the gas phase.

stretching force constants were chosen to reproduce the O–H and O–D frequencies measured for HOD, since these should be considerably more accurate than frequencies derived from the more complex bands for pure H<sub>2</sub>O. The actual force constants used were as follows: stretching force constants ( $\text{mdyn}/\text{Å}$ )  $f_a = f_b = 6.55$ ,  $f_c = 0.12$ ,  $f_{ab} = -0.25$ ; bending force constants [ $(\text{mdyn } \text{Å})/\text{rad}^2$ ]  $f_\alpha = 0.65$ ,  $f_\beta = 0.067$ ,  $f_\gamma = 0.03$ ,  $f_\theta = f_\delta = 0.067$ .

The subscripts for the force constants follow the coordinate notation in **1**. The covalent O–H stretching interaction force constant is denoted  $f_{ab}$ . The magnitude of the libration frequencies was determined principally by the force constants  $f_\theta$ ,  $f_\delta$ , and  $f_\beta$ . It was

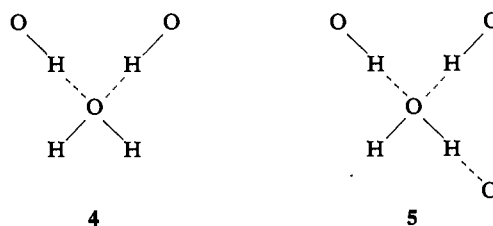
considered that  $f_\gamma$ , the bending force constant between two hydrogen bonds, should be smaller than  $f_\beta$ . The in-plane and out-of-plane force constants for bending of the bonds about hydrogen,  $f_\theta$  and  $f_\delta$ , were taken as equal.

A detailed discussion of the results is given below. However, it may be noted here that model **1** yields eight hydrogen bending frequencies. One of these is  $\nu_2$ , three are librations of the central H<sub>2</sub>O unit  $\nu_L$ , and four are in-plane and out-of-plane deformations of the peripheral O–H groups, denoted  $\nu_d$  in Table II. Comparison of the magnitudes of these frequencies with those calculated from models in which the peripheral atoms or the atoms in the H<sub>2</sub>O group were assigned the large atomic mass  $X = 200$ , **2** and **3**, respectively, demonstrated that there was strong vibrational coupling between the librations and hydrogen-bond deformations. The uncoupled frequencies calculated from **2** and **3** are compared below with the corresponding coupled values taken from Table II, which are shown in parentheses. Assignment of frequencies as primarily libration or deformation modes was made by inspection of the eigenvectors for coupled and uncoupled modes. The deformation frequency listed in parentheses in Table II is the one frequency in this group which is not appreciably coupled for model **1**.



In addition to  $\nu_L$  and  $\nu_d$  there are five bending vibrations which do not involve motion of hydrogen. These are of lower frequency and are denoted  $\nu_b$  in Table II.

Calculations were also carried out for models **4** and **5** in which one or both of the oxygens hydrogen bonded to the hydrogens of the H<sub>2</sub>O are removed.



Most of the force constants in models **4** and **5** were the same as in **1**. However, in **4** the value of  $f_a = f_b = 7.35 \text{ mdyn}/\text{Å}$  was assigned to reproduce the frequencies assigned by Walrafen to "unbound" O–H and O–D groups of HDO in H<sub>2</sub>O and D<sub>2</sub>O. In **5** the hydrogen-bonded and free O–H groups were assigned the stretching force constants used in **1** and **4**, respectively,  $f_a = 7.35$  and  $f_b = 6.55 \text{ mdyn}/\text{Å}$ . In both **4** and **5** bending force constants were chosen to reproduce the same H<sub>2</sub>O and D<sub>2</sub>O bending frequencies as for **1**. These were  $f_\alpha = 0.73$  and  $0.69 (\text{mdyn } \text{Å})/\text{rad}^2$ , respectively. Calculated frequencies for models **4** and **5** are shown in Tables III and IV.

**Table III.** Calculated Vibration Frequencies for Water with Unbound Hydroxyl Groups<sup>a</sup>

	H <sub>2</sub> O	D <sub>2</sub> O	HDO in H <sub>2</sub> O <sup>b</sup>
$\nu_1$	3585	2590	2640
$\nu_3$	3670	2695	3630
$\nu_2$	1645	1205	1445
$\nu_L$	410	307	390
	355	254	285
	230	173	200
$\nu_d$	620	440	610
	600	435	600
	580	425	580
$\nu_T$	580	420	570
	158	148	153
$\nu_b$	137	132	134
	41	41	41

<sup>a</sup> All frequencies have units of cm<sup>-1</sup>. <sup>b</sup> Intramolecular frequencies for HDO in H<sub>2</sub>O and HDO in D<sub>2</sub>O are insignificantly different.

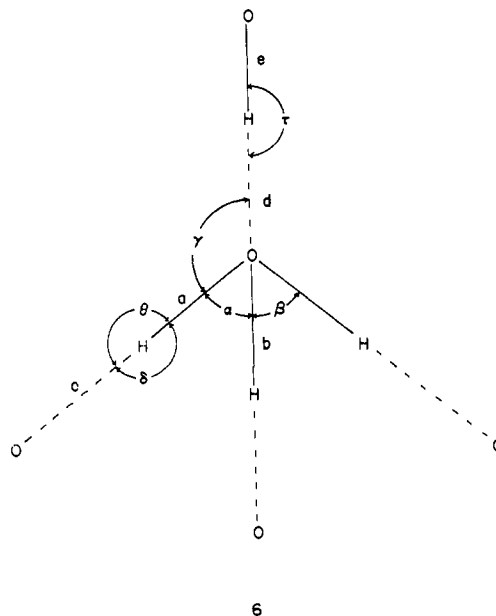
**Table IV.** Calculated Vibration Frequencies for a Water Molecule with One Hydroxyl Group Hydrogen Bonded<sup>a</sup>

	H <sub>2</sub> O	D <sub>2</sub> O	HDO in H <sub>2</sub> O	
			(OH bound)	(OD bound)
$\nu_1$	3405	2470	2490	3430
$\nu_3$	3675	2690	3660	2650
$\nu_2$	1650	1200	1470	1425
$\nu_L$	710	515	710	465
	420	300	350	375
	380	280	295	355
$\nu_d$	610	445	600	610
	600	435	580	600
	580	420	580	580
$\nu_T$	530	380	530	580
	162	155	157	161
$\nu_b$	161	154	157	159
	126	122	125	125
$\nu_b$	59-39	58-38	58-38	59-38

<sup>a</sup> Frequencies have units of cm<sup>-1</sup>.

salts in solutions of sulfur dioxide;<sup>28</sup> Rudolph and Zimmerman's infrared studies<sup>23</sup> of the crystalline salt H<sub>3</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup> and, of course, direct infrared and Raman measurements of strongly acidic aqueous solutions.<sup>5,15,25,27,33</sup>

The available experimental results are listed in Tables V and VI and, as may be seen from Table V, and even more clearly from the published spectra, the directly measured frequencies for H<sub>3</sub>O<sup>+</sup> in aqueous solution are ill defined. Indeed, as shown by Ackermann, and discussed below, whether the observed bands pertain to H<sub>3</sub>O<sup>+</sup> at all can be seriously questioned.<sup>27</sup> In choosing a set of "best" frequencies for calculation, therefore, it is helpful to consider results for related systems. The results shown in Table VI for H<sub>3</sub>O<sup>+</sup> in SO<sub>2</sub> provide probably the clearest and most easily interpreted measurements of the H<sub>3</sub>O<sup>+</sup> stretching frequencies, while the

**Table V.** Calculated and Observed Frequencies for the Hydronium Ion in Aqueous Solution<sup>a</sup>

	H <sub>3</sub> O <sup>+</sup> (aq)				D <sub>3</sub> O <sup>+</sup> (aq)		
	Obsd <sup>b</sup> Ir or Raman	Calcd <sup>c</sup>		Obsd <sup>b</sup> Ir	Calcd <sup>c</sup>		
		L = 9.0	L = 11.0		L = 9.0	L = 11.0	
$\nu_1$ (a)	2900, 2600	2785	2760		1980	1960	
$\nu_3$ (e)	2900, 3025	2470	2440	2170	1815	1795	
$\nu_2$ (a)	1205, 1190	1140	1130	960	855	845	
$\nu_4$ (e)	1750, 1720	1615	1585	1400	1170	1150	
$\nu_L$ (a)	605-625, 643	580	580	465	410	410	
(e)	675, 620-624 <sup>d</sup>	610	610	410-450 <sup>d</sup>	435	435	
$\nu_T$ (a)		180	180		175	175	
(e)		250	250		240	240	

<sup>a</sup> Frequencies have units of cm<sup>-1</sup>. Assignments of observed values to symmetry classes have frequently not been made. The classifications there pertain mainly to the calculated values. <sup>b</sup> Results of various authors; those not taken from Table IV of ref 33 are indicated by footnotes. <sup>c</sup> Frequencies are calculated under the constraint that when combined with the calculated frequencies for H<sub>2</sub>O in the gas phase they yield the value  $L(\rho_{D_2O}/\rho_{H_2O})^3$  for the equilibrium constant for the exchange process  $2D_3O^+(aq) + 3H_2O(g) \rightleftharpoons 2H_3O^+(aq) + 3D_2O(g)$ . The value of  $L$  is specified for each set of frequencies. <sup>d</sup> Reference 15.

**The Hydronium Ion.** Measurements of the infrared and Raman spectra of the hydronium ion under a variety of conditions have been summarized by Heinzinger and Weston<sup>33</sup> and by Giguère.<sup>24</sup> The measurements of greatest interest here are Giguère's study of hydronium halides and their corresponding deuterated

(33) K. Heinzinger and R. E. Weston, Jr., *J. Phys. Chem.*, **68**, 744 (1964).

salt H<sub>3</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup> apparently offers an attractive model for the hydronium ion in solution.

Calculations of frequencies for the hydronium ion in aqueous solution were based on model 6, which is similar to the model used for water 1, except that the symmetry is C<sub>3v</sub>, and there is a rearrangement of the hydrogen bonds. The bonds and angles to which different force constants were assigned are indicated by Greek

**Table VI.** Calculated and Observed Frequencies<sup>a</sup> for Solutions of H<sub>3</sub>O<sup>+</sup> Salts in SO<sub>2</sub> and Crystalline H<sub>9</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup>

	H <sub>3</sub> O <sup>+</sup> /SO <sub>2</sub>			D <sub>3</sub> O <sup>+</sup> /SO <sub>2</sub>		H <sub>9</sub> O <sub>4</sub> <sup>+</sup> Br <sup>-</sup>		D <sub>9</sub> O <sub>4</sub> <sup>+</sup> Br <sup>-</sup> Calcd
	Obsd <sup>b</sup>		Calcd	Obsd <sup>b</sup>	Calcd	Obsd <sup>c</sup>	Calcd	
	Ir	Raman						Ir
$\nu_1(a)$	3405	3415	3410	2580	2425 <sup>d,e</sup>	2060	2640 <sup>f</sup>	1880
$\nu_3(e)$	3470	3447	3455	2677	2550	2630	2060	1520
$\nu_2(a)$			785		593	1313	1320	985
$\nu_4(e)$	1670	1700	1690		1230	1845	1840	1320
$\nu_L(a)$						902	870	615
(e)						738	920	660
$\nu_T(a)$						569	405	400
(e)						556	570	550
$\nu_b$						78	97-27	97-27

<sup>a</sup> Frequencies have units of cm<sup>-1</sup>. The assignments of the authors are followed. <sup>b</sup> Reference 28. <sup>c</sup> Reference 23. <sup>d</sup> For H<sub>3</sub>O<sup>+</sup> in SO<sub>2</sub> calculated frequencies were fitted to those observed for H<sub>3</sub>O<sup>+</sup> only. The calculated frequencies for D<sub>3</sub>O<sup>+</sup> are low. <sup>e</sup> Giguère and Schneider (ref 28) report observation of H<sub>2</sub>DO<sup>+</sup> and/or HD<sub>2</sub>O<sup>+</sup> in SO<sub>2</sub>. Calculated frequencies for these ions are: H<sub>2</sub>DO<sup>+</sup>, 2510, 3455, 3425, 1670, 1420 and 725 cm<sup>-1</sup>; HD<sub>2</sub>O<sup>+</sup>, 3440, 2550, 2465, 1530, 1255, and 660 cm<sup>-1</sup>. <sup>f</sup> For calculation of H<sub>9</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup> frequencies a positive stretching interaction force constant between covalent O-H bonds was used. This gave  $\nu_1 > \nu_3$ .

**Table VII.** Force Constants for Hydronium Ion Models

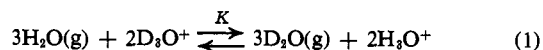
	$f^a$	$a, b$	$c$	$d$	$e$	$ab^b$	$ac^b$	$\alpha, \beta$	$\gamma$	$\theta, \delta$	$\tau$	$\alpha\beta^b$
H <sub>3</sub> O <sup>+</sup> /SO <sub>2</sub>		6.61				0.11		0.58				0.0
H <sub>9</sub> O <sub>4</sub> <sup>+</sup> Br <sup>-</sup>		3.15	1.6	0.05		0.58	0.9	0.62	0.05	0.18		0.085
H <sub>3</sub> O <sup>+</sup> (aq)	$L = 11.0$	3.38	0.3	0.02	7.0	0.4	0.0	0.57	0.02	0.08	0.02	0.13
	$L = 9.0$	3.46	0.3	0.02	7.0	0.4	0.0	0.59	0.02	0.08	0.02	0.13

<sup>a</sup> Notation for coordinates to which force constant refer follows that of model 6. Units are mdyn/Å for stretching force constants and (mdyn Å)/rad<sup>2</sup> for bending force constants. <sup>b</sup> Interaction force constants between specified coordinates.

and Latin letters, as before. The H-O-H angle,  $\alpha$  ( $=\beta$ ), was taken as 115° and the O-H covalent bond length,  $a$  ( $=b$ ), as 1.0 Å. These are close to the measured values for various hydronium ion salts.<sup>24</sup> The O-H hydrogen-bond distance was taken as 1.76 Å, the same as that in model 1.

Calculated frequencies for the three systems considered are also shown in Tables V and VI and the force constants used are listed in Table VII. In the calculations for H<sub>3</sub>O<sup>+</sup> in sulfur dioxide, only the four-atom unit H<sub>3</sub>O<sup>+</sup> was considered. Also, since only one bending frequency has been reported, the bending interaction force constant  $f_{\alpha\beta}$  was taken to be zero. As may be seen, this leads to a relatively low frequency for the bending mode  $\nu_2$ . For H<sub>9</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup>, the model was modified by replacing the hydrogen-bonding O-H group by a bromide ion. No crystal structure appears to have been reported for an H<sub>9</sub>O<sub>4</sub><sup>+</sup> salt, and this structure was inferred<sup>23</sup> from the infrared spectrum.

For H<sub>3</sub>O<sup>+</sup> in SO<sub>2</sub> and for H<sub>9</sub>O<sub>4</sub><sup>+</sup>Br<sup>-</sup>, force constants were assigned to best reproduce the measured and assigned frequencies. For H<sub>3</sub>O<sup>+</sup> in aqueous solution, however, the overriding condition was imposed that the calculated frequencies for H<sub>3</sub>O<sup>+</sup> should be consistent with the experimental value of the equilibrium constant,  $K$ , for the isotopic exchange process



$K$  is given by  $L(p_{\text{D}_2\text{O}}/p_{\text{H}_2\text{O}})^3$ , where  $L$  is the equilibrium constant for the corresponding exchange with liquid water<sup>33-36</sup> and  $p_{\text{D}_2\text{O}}/p_{\text{H}_2\text{O}}$  is the ratio of vapor pressures for D<sub>2</sub>O and H<sub>2</sub>O. In Table V "best" sets of calculated

frequencies consistent with  $L = 9.0$  and 11.0 are recorded.

## Discussion

**Water. Stretching Modes.** As already noted, the most accurate measurements of stretching frequencies have been made for dilute solutions of HDO in H<sub>2</sub>O or D<sub>2</sub>O. For the HDO molecule there is no intramolecular coupling of stretching modes, and if the reasonable assumption is made that the principal factor determining the frequency is whether or not the hydrogen atom is hydrogen bonded, the frequency bands may be resolved into two components corresponding to bound and unbound O-H groups<sup>8,9</sup> (Table I). In the calculations, therefore, stretching force constants for bound and free O-H groups were assigned to reproduce the corresponding frequencies (Tables II and III). Good agreement was obtained for both O-H and O-D frequencies, even though measurements of the OH frequencies have been reported only in a preliminary form.<sup>9</sup> The discrepancies are of the order that might be expected from neglect of anharmonicity.

The same force constants were used to calculate  $\nu_1$  and  $\nu_3$  for bound and unbound molecules in pure H<sub>2</sub>O. In this case the experimental situation is more complex because the frequency bands must consist of at least four components. A resolution has been achieved by Walrafen,<sup>7</sup> but agreement between the derived frequencies and those calculated on the assumption that both (Table II) or neither (Table III) of the O-H groups is hydrogen bonded is less satisfactory than for HDO. Discrepancies are now as high as 115 cm<sup>-1</sup>.

It is noticeable that measured values of  $\nu_3$  for H<sub>2</sub>O in Table I are close to the corresponding uncoupled frequencies of HDO. In fact, application of the product or sum rules to the stretching modes leads to the expectation that the O-H and O-D frequencies for HDO should be close to the geometric means of  $\nu_1$  and

(34) K. Heinzinger, *Z. Naturforsch. A*, 20, 269 (1965)

(35) P. Salomaa and V. Aalto, *Acta Chem. Scand.*, 20, 2035 (1966).

(36) A. J. Kresge and A. L. Allred, *J. Amer. Chem. Soc.*, 85, 1541 (1963); V. Gold, *Proc. Chem. Soc.*, 141, (1963); V. Gold and B. M. Lowe, *J. Chem. Soc. A*, 936 (1967); P. Salomaa, A. Vesala, and S. Vesala, *Acta Chem. Scand.*, 23, 2107 (1969).

$\nu_3$  for H<sub>2</sub>O and D<sub>2</sub>O, respectively.<sup>37</sup> For models 1 and 4, this is true of the calculated frequencies, even when hydrogen bond-covalent stretch, or stretch-bend interaction force constants are included. It is also true of the observed frequencies in the gas phase.

For the low-intensity frequencies assigned to unbound water, abnormal behavior may arise from the presence of molecules in which one hydrogen atom is hydrogen bonded and the other not, since partial hydrogen bonding (as in model 5) can itself cause substantial "decoupling" of the stretching modes, as may be seen in Table IV. However, it is unlikely that the same can be true of the high-intensity components assigned to bound water molecules since, at low temperatures, fully hydrogen-bonded molecules (model 1) probably comprise the major absorbing species.<sup>40</sup>

It is possible that the discrepancy represents the uncertainty involved in decomposing the H<sub>2</sub>O stretching band into four gaussian components. However, a casual inspection of published spectra<sup>11</sup> for the OH stretching region of H<sub>2</sub>O and HDO indicates that the band maxima occur at practically the same frequency (and, indeed, Hornig and Schiffer have concluded that a pronounced intramolecular decoupling of stretching modes is characteristic of water in the liquid state<sup>11a</sup>). Moreover, a close identity of OD stretching frequencies has been observed in HDO and D<sub>2</sub>O cubic ice (though not of OH frequencies in HDO and H<sub>2</sub>O ice).<sup>39</sup> Thus, the possibility that the simple models used here are not adequate for interpreting the stretching region of liquid-water spectra cannot be ruled out.

The success of the "two-state" treatment of hydrogen stretching modes for HDO<sup>8-10</sup> is consistent with the view that hydrogen bonding of the oxygen lone pair has a much smaller effect on the stretching force constant of the covalent O-H bond than does bonding of the hydrogen. In Tables III and IV calculations are reported for molecules in which it is assumed that both lone pairs of the oxygen are hydrogen bonded. The only available indication of a possible effect of bonding at oxygen appears to be Franck and Roth's demonstration that at elevated temperatures and pressures the isobestic point observed for HDO spectra at lower temperatures is no longer found.<sup>16</sup> This could be ascribed to increasing concentrations of water molecules with no hydrogen bonds as the temperature is raised.<sup>42</sup>

**Bending Frequencies.** The intramolecular bending mode for water has been studied in less detail than the stretching modes, although it has been shown that there is little change in frequency<sup>12</sup> and integrated Raman intensity<sup>7</sup> with increasing temperature. In the calculations, force constants were assigned to yield the same frequencies for molecules in which the O-H groups were hydrogen bonded or free. This entailed assigning dif-

ferent bending force constants,  $f_\alpha$  (*cf.* model 1) to molecules with different numbers of hydrogen bonds, because the hydrogen-bond bending force constants also contribute to the intramolecular bending frequency. For molecules with zero, one, and two bound OH groups the bending force constants were  $f_\alpha = 0.73, 0.69,$  and  $0.65$  (mdyn Å)/rad<sup>2</sup>.

As seen in Tables I, II, III, and IV, there was generally little difficulty in reproducing the observed isotopic frequencies. However, a consequence of the contribution of hydrogen-bond bending force constants is that for singly bound HDO molecules, the magnitude of the bending frequency depends on whether the hydrogen or deuterium is bound. As seen in Table IV, the calculated frequencies of 1425 and 1470 cm<sup>-1</sup> bracket the "normal" value of 1445-1450 cm<sup>-1</sup> for symmetrically bound molecules. It would seem that the difference is large enough that it should show up as a broadening of the frequency band for HDO bending under conditions for which the concentration of asymmetrically bound molecules is high, possibly at elevated temperatures. So far, no such effect has been reported.

**Librational Modes.** As already noted, the use of model 1 leads to the calculation of several hydrogen-bond bending frequencies, three of which correspond to librations of the H<sub>2</sub>O unit,  $\nu_L$ , and four to deformations of the hydrogen-bonding O-H fragments,  $\nu_d$ . The calculated values of  $\nu_L$  and  $\nu_d$  have been grouped separately in Table II, and assignments were made from inspection of the eigenvectors. However, the separation is largely artificial because there is strong coupling between the modes. The existence of this coupling is indeed the principal conclusion suggested by these calculations, and it is well illustrated by comparison of the frequencies calculated from model 1 with those obtained from models 2 and 3 in which decoupling is effected by assigning large atomic masses respectively to the peripheral and central atoms of the model.

The existence of coupling makes it impossible to obtain realistic frequencies from a model as restricted as 1. In reality, the deformation modes  $\nu_d$  of the peripheral OH groups would correspond to librational modes of adjacent molecules. However, the spread in the calculated frequencies from 500 to 700 cm<sup>-1</sup> does suggest that coupling offers a reasonable interpretation of the breadth of the librational band. Moreover, the grouping of frequencies at 700 and 530 cm<sup>-1</sup> is not inconsistent with the resolution of the experimental band into two or three components with peaks close to these values.

These conclusions are pertinent to Walrafen's measurements and interpretation of the temperature dependence of the librational bands.<sup>7,9</sup> Walrafen found that the frequencies and relative intensities of the gaussian components of the librational band undergo little change with an increase in temperature in the range 10-90°, but that the *net* integrated Raman intensity decreases sharply. Measurement of  $\Delta H$  for the process leading to loss of intensity gave a value close to that expected for the breaking of two hydrogen bonds, and roughly twice the value obtained from the temperature dependence of the intensity of gaussian components for the stretching frequency bands. Walrafen interpreted this behavior by supposing that the librational intensity in the Raman spectrum arises solely from fully hydro-

(37) This point has been made with reference to the frequency assignments for ice.<sup>38,39</sup>

(38) C. Haas and D. F. Hornig, *J. Chem. Phys.*, **32**, 1763 (1960).

(39) J. E. Bertie and E. Whalley, *ibid.*, **40**, 1637 (1964).

(40) Estimates vary very widely,<sup>41,12</sup> but this view is supported by the low integrated intensity of the gaussian component of the Raman and ir bands assigned to unbound molecules in the temperature range studied.<sup>8</sup>

(41) W. A. P. Luck, *Fortschr. Chem. Forsch.*, **4**, 653 (1964).

(42) Although the asymmetrically hydrogen-bonded HDO molecule represented by model 5 gives four O-H and O-D stretching frequencies, depending on whether D or H is bound, the magnitudes of these frequencies should be close to those for the symmetrically bound and unbound molecules (models 1 and 4) and therefore should not disturb the isobestic relationship (*cf.* Tables II-IV).

gen-bonded water molecules, with a short-range symmetry close to  $C_{2v}$ , and that molecules with three, two, one, or zero hydrogen bonds do not absorb appreciably in this region. With this assumption, the change in absorption intensity with temperature corresponds to a change in equilibrium concentration of the tetrahedrally coordinated molecules, and Walrafen suggested that  $\Delta H$  for this process should indeed correspond to the breaking of two hydrogen bonds.

This interpretation takes no explicit account of intermolecular coupling of the librational modes, but it would not appear to be inconsistent with coupling, particularly if, as seems likely, the strongest coupling occurs between adjacent molecules. According to the calculations, the existence of coupling, and in part the actual spread of frequencies, depends on the assignment of an appreciable force constant for deformation of the angle made by a hydrogen bond and a covalent bond to oxygen; *i.e.*, the angle  $\beta$  in model 1. When this force constant is zero the calculated values of  $\nu_L$  are grouped closely together; *e.g.*, 710, 700, and 690  $\text{cm}^{-1}$  (*cf.* ref 43).

Other explanations of the wide separation of librational bands, consistent with the mixture model, are possible. As may be seen from Tables III and IV, low librational frequencies could be ascribed to partially hydrogen-bonded molecules. However, if these molecules were contributing substantially to the Raman intensity, a clearer indication might have been expected from the temperature dependence of the librational bands. Furthermore, broad asymmetric bands have been observed in the librational region for ice, in which tetrahedrally coordinated molecules must very largely predominate. Here, too, the breadth of the bands has been ascribed to vibrational coupling.<sup>38,39,44</sup> A further possibility is that the in-plane and out-of-plane bending force constants of uncoupled molecules differ substantially. This might be possible if the hydrogen bonds were appreciably bent in their equilibrium configuration, but such behavior would more readily accord with a continuum water model. It seems clear, therefore, that vibrational coupling offers the most attractive explanation of the observed behavior within the framework of a mixture model.

**Low-Frequency Hydrogen-Bond Stretching and Bending Modes.** Hindered translational modes and the low-frequency deformations not involving hydrogen are listed in Table II as  $\nu_T$  and  $\nu_b$ . The three frequencies close to 167  $\text{cm}^{-1}$  correspond to the hindered translations of the  $\text{H}_2\text{O}$  unit in model 1, while the lower value at 105  $\text{cm}^{-1}$  is a breathing mode of the peripheral oxygens which disappears when the oxygens are replaced by heavy atoms, as in model 2 ( $\nu_T = 145, 157, 166 \text{ cm}^{-1}$ ). There is some coupling of the modes, but it seems quite likely that in an extended lattice the major absorption would be centered at a single frequency, particularly if, as Walrafen suggests,<sup>6,9</sup> the Raman intensity is much greater for fully hydrogen-bonded molecules than others. However, as seen in Tables III and IV, the translational modes for doubly and triply bonded molecules are not likely to be greatly different. Thus, the calculations are quite consistent with the ob-

servation of a broad, nearly symmetrical, band at 170  $\text{cm}^{-1}$ .

Five heavy-atom bending modes in the range 53–35  $\text{cm}^{-1}$  are also calculated from model 1. These are considerably lower in frequency than the translational modes and there seems little doubt that if the bands observed at 60  $\text{cm}^{-1}$  in the Raman,<sup>6,9</sup> and at slightly lower frequencies in the neutron inelastic scattering spectrum,<sup>9,18</sup> are fundamentals, they should be considered as bending modes. They could, in principal, be ascribed to rotations of free or lattice water molecules, but this would seem to be precluded by the sharp decrease in absorption intensity with rising temperature.

**The Vapor Pressures of  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$ .** The calculated liquid frequencies as a whole may be put to a further test by evaluating isotopic partition function ratios  $Q_{\text{H}_2\text{O}}/Q_{\text{D}_2\text{O}}$ . These, when combined with  $Q_{\text{H}_2\text{O}}/Q_{\text{D}_2\text{O}}$  for the gas phase, should yield reasonable values for the vapor pressure ratio<sup>45</sup>  $p_{\text{H}_2\text{O}}/p_{\text{D}_2\text{O}}$ . At 25° models 1 and 5 give  $p_{\text{H}_2\text{O}}/p_{\text{D}_2\text{O}} = 1.273$  and 1.192, respectively, which may be compared with the experimental value<sup>46</sup> of 1.152.

In making the calculations, harmonic frequencies for  $\text{H}_2\text{O}$  in the gas phase were fitted to directly observed<sup>47</sup> rather than zero-order values. Insofar as anharmonic corrections are likely to be greater for the liquid than for the gas, the derived values of  $p_{\text{H}_2\text{O}}/p_{\text{D}_2\text{O}}$  represent lower limits. If the fully hydrogen-bonded water species (model 1) is considered to be at high concentrations<sup>40</sup> at 25°, the comparison suggests that the zero-point energy for the liquid models is significantly overestimated. However, the discrepancy is quite small and can hardly be greater than 100  $\text{cm}^{-1}$  in the hydrogen frequencies, which is well within the combined uncertainties of the librational and stretching modes.

**The Hydronium Ion.** The addition of strong acids to liquid water leads to a pronounced intensification of infrared absorption throughout the fundamental region.<sup>25,27</sup> Bands assigned to intramolecular vibrations of  $\text{H}_3\text{O}^+$  appear as broad shoulders on the  $\text{H}_2\text{O}$  stretching and bending bands and as a very broad maximum centered near 1200  $\text{cm}^{-1}$ . Vibration frequencies reported by different authors are shown, together with calculated values, in Table V. For comparison, frequencies of  $\text{H}_3\text{O}^+$  in sulfur dioxide<sup>28</sup> and of crystalline  $\text{H}_3\text{O}_4^+\text{Br}^-$ <sup>23</sup> are listed in Table VI.

Because the frequencies for aqueous  $\text{H}_3\text{O}^+$  have to be separated from the background absorption of water they cannot be determined with accuracy. Furthermore, it has been convincingly argued that the observed spectra arise not from  $\text{H}_3\text{O}^+$  itself but from frequency shifts and intensification of bands of the aqueous solvent.<sup>27</sup> For these reasons it seemed worthwhile subjecting the calculated frequencies to the condition that when combined with frequencies for  $\text{H}_2\text{O}$  in the gas phase they yield a reasonable value for the equilibrium constant  $K$  for isotopic fractionation of deuterium and hydrogen between aqueous  $\text{H}_3\text{O}^+$  and  $\text{H}_2\text{O}$  vapor (eq 1). This

(45) Corrections due to gas imperfections and differences in molar volumes between  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$  can be neglected at the temperature considered: W. A. Van Hook, *J. Phys. Chem.*, **72**, 1234 (1968).

(46) L. Merlivat, R. Botter, and G. Nief, *J. Chim. Phys. Physicochim. Biol.*, **60**, 56 (1963); W. M. Jones, *J. Chem. Phys.*, **48**, 207 (1968); F. D. Rossini, J. W. Knowlton, and H. L. Johnston, *J. Res. Nat. Bur. Stand.*, **24**, 369 (1940).

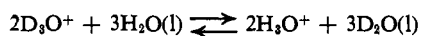
(47) W. S. Benedict, N. Gailar, and E. K. Plyler, *J. Chem. Phys.*, **24**, 1139 (1956).

(43) R. W. Blue, *J. Chem. Phys.*, **22**, 280 (1954).

(44) D. F. Hornig, H. F. White and J. P. Reding, *Spectrochim. Acta*, **12**, 338 (1958); J. E. Bertie and E. Whalley, *J. Chem. Phys.*, **40**, 1646 (1964); N. Ockman, *Advan. Phys.*, **7**, 199 (1958).

procedure is similar to that just described of using the calculated frequencies of liquid water to derive the ratio of vapor pressures,  $p_{\text{H}_2\text{O}}/p_{\text{D}_2\text{O}}$ , for  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$ .

As noted above,  $K$  may be factored into the contributions  $(p_{\text{D}_2\text{O}}/p_{\text{H}_2\text{O}})^3L$ , where  $L$  is the equilibrium constant for the isotope exchange process



The value of  $p_{\text{H}_2\text{O}}/p_{\text{D}_2\text{O}}$  is known accurately,<sup>45,46</sup> and uncertainty in  $K$  attaches mainly to  $L$ . At 25° the best value of  $L$  is 9.0,<sup>35</sup> but recent determinations<sup>33-36</sup> have given values ranging from 8.0 to 11.0. Fortunately, the calculated frequencies are quite insensitive to the precise magnitude of  $L$  (or  $K$ ), as may be seen by comparing the values calculated for  $L = 9.0$  and  $L = 11.0$  shown in Table V. This point has been noted previously<sup>33</sup> in emphasizing the difficulty of attempting the reverse process of using observed frequencies to calculate  $L$ .

As can be seen from Table V, the frequencies of intramolecular vibrations calculated in this way are substantially lower than those observed. This is true even though, contrary to normal assignments for pyramidal molecules with  $C_{3v}$  symmetry,<sup>48</sup> the degenerate stretching mode  $\nu_3$  is assumed to have a lower frequency than the nondegenerate mode  $\nu_1$ . With the most favorable selection of hydrogen frequencies, the difference between calculated and observed values is 600  $\text{cm}^{-1}$  for the six vibrations. For the single set of observed deuterium frequencies, the difference is 1450  $\text{cm}^{-1}$ .

Several explanations of these discrepancies which are consistent with assignment of the observed frequencies to the hydronium ion may be considered. (i) Although in the calculation of  $K$  a harmonic force field yielding observed rather than zero-order frequencies was used for  $\text{H}_2\text{O}$  vapor, cancellation of anharmonic corrections between  $\text{H}_2\text{O}$  and  $\text{H}_3\text{O}^+$  is probably incomplete. (ii) Measurements of  $L$  were made in dilute acid solutions, and the solvation of  $\text{H}_3\text{O}^+$  may differ significantly from that at the concentrations for which vibrational spectra have been reported ( $\geq 5 N$ ). (iii) Overestimation of librational frequencies of  $\text{H}_3\text{O}^+$  may have led to underestimation of frequencies for intramolecular modes. (iv) In the calculation of  $K$  it was assumed that only the unit  $\text{H}_3\text{O}^+$ , and not its solvation shell, need be considered.

Points i and ii seem unlikely to account for more than a small part of the observed differences. With regard to point iii, despite the substantial change in hydrogen-bond strength, the force constants determining the librational frequencies of  $\text{H}_3\text{O}^+$  were only 20% larger than those of  $\text{H}_2\text{O}$ . If the frequencies of crystalline  $\text{H}_9\text{O}_4^+\text{Br}^-$  may be taken as a guide (Table VI), the librational frequencies for  $\text{H}_3\text{O}^+$  are probably underestimated.

The most important discrepancy may come from assuming that isotopic zero-point energy changes in the primary solvation shell of  $\text{H}_3\text{O}^+$  do not differ from those of molecules in the bulk solvent (point iv). However, for the three firmly bound water molecules in the  $\text{H}_9\text{O}_4^+$  species, the effect of strengthened hydrogen bonding is ameliorated by the fact that each molecule forms only one bond to  $\text{H}_3\text{O}^+$ , and that the bonding occurs through its oxygen atom. Moreover, while the bonding almost certainly does lead to some decrease in the O-H stretch-

ing force constant for these water molecules, the effect must at least partially be compensated by increases in hydrogen-bond bending force constants and by the weakness or lack of hydrogen bonding of the water molecule adjacent to the  $\text{H}_3\text{O}^+$  lone pair. That the net effect on the zero-point energy is indeed small is supported by the finding that, within the (admittedly limited) sensitivity of exchange measurements, isotopic fractionation in the hydronium ion is confined to three equivalent hydrogens.<sup>33-36</sup>

The most likely explanation of the difference between observed and calculated frequencies would seem to be offered by Ackermann's suggestion<sup>27</sup> that the observed frequencies are not those of  $\text{H}_3\text{O}^+$ . Ackermann's conclusion was originally based on his observation that the spectra of aqueous solutions of strong acids are nearly identical with those of strong alkali, in both  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$ , and that the intense and continuous background absorption found in each case could be interpreted as resulting from proton transfer between water molecules or hydroxide ions at a frequency,  $\sim 10^{13} \text{sec}^{-1}$ , comparable to the frequencies for intramolecular vibrations of  $\text{H}_3\text{O}^+$  in the fundamental region (cf. ref 49 and 50). Consistent with this, a lifetime of  $10^{-13} \text{sec}$  has been measured for  $\text{H}_3\text{O}^+$  in ice.<sup>51</sup> Although in liquid water long-range proton mobility is limited by structural relaxation of unfavorably oriented solvent molecules, and is slower by a factor<sup>51</sup> of 10-100, provided that a fully hydrogen-bonded ice-like structure extends for more than one molecule beyond the central  $\text{H}_3\text{O}^+$  unit, it can be expected that the half-life of a proton in association with any one molecule within that local structure will be comparable with that in ice itself.

Of course, if this conclusion is correct, no direct comparison of measured and calculated frequencies is possible. However, the calculations may be compared with the observed frequencies of salts of  $\text{H}_9\text{O}_4^+$ ,<sup>23</sup> which probably provide the closest models for  $\text{H}_3\text{O}^+$  in aqueous solution, and of  $\text{H}_3\text{O}^+$  in sulfur dioxide<sup>28</sup> (Table VI). In passing from  $\text{H}_3\text{O}^+$  in  $\text{SO}_2$  to crystalline  $\text{H}_9\text{O}_4^+\text{Br}^-$ , it is probable that the strength of hydrogen bonding to  $\text{H}_3\text{O}^+$  increases considerably. It is apparent that this change is associated with a very marked decrease in stretching frequencies and, probably, a significant increase in bending frequencies. Since the primary hydration shell of  $\text{H}_3\text{O}^+$  is likely to be less strongly bound in solution than in the crystal, it is reasonable that in solution the calculated frequencies for intramolecular modes, and especially the stretching frequencies, should be intermediate in value between those for  $\text{H}_3\text{O}^+$  in  $\text{SO}_2$  and  $\text{H}_9\text{O}_4^+\text{Br}^-$ , and considerably closer to the latter, as indeed they are calculated to be. Although less firm conclusions can be drawn about the librational frequencies, it is again reasonable that they should be somewhat lower in solution than in the crystal. Qualitatively, therefore, the calculated frequencies appear to be of the correct magnitude, and the comparison with authentic experimental spectra suggests no fault in the conclusion that the measured solution frequencies are too high to be assigned to  $\text{H}_3\text{O}^+$ .

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(51) M. Eigen, *Angew. Chem., Int. Ed. Engl.*, **3**, 1 (1964).

(48) K. Nakamoto, "Infrared spectra of Inorganic and Coordination Compounds," Wiley, New York, N. Y., 1963.



A final point of uncertainty concerns the relative magnitude of the two stretching frequencies  $\nu_1$  and  $\nu_3$ . A number of measurements of crystals of hydronium ion salts have led to the assignment of widely separated frequencies to these two modes.<sup>20</sup> These assignments have not been followed in all such measurements,<sup>22,24</sup> but  $\text{H}_3\text{O}_4^+\text{Br}^-$  offers an example of where they have.<sup>23</sup> Giguère's recent demonstration that  $\nu_1$  and  $\nu_3$  are very closely spaced for solutions of hydronium halides in liquid sulfur dioxide,<sup>28</sup> however, strongly suggests that the same may be true of aqueous solutions. Indeed, this is certainly the normal situation<sup>47</sup> for pyramidal hydrides of the type  $\text{XH}_3$ . Calculations were carried

out, therefore, with the covalent O-H stretching interaction force constant for  $\text{H}_3\text{O}^+$  taken as zero. Other force constants were unchanged from model 6 (Table VII), and there was no effect on the calculated value of  $L$ . For  $L = 9.0$ , values of  $\nu_1(a) = 2530$  and  $\nu_3(e) = 2620$  were obtained.

**Acknowledgments.** The authors are indebted to the Computation Laboratories of Rice University and the Illinois Institute of Technology and to the Common Research Computer Facility of the Texas Medical Center, supported by U. S. Public Health Service Grant No. FR00254, for grants of computation time.

## Solvent Isotope Effects upon Proton Transfer from the Hydronium Ion

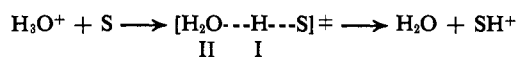
R. A. More O'Ferrall,\*<sup>1</sup> G. W. Koeppl,\*<sup>2</sup> and A. J. Kresge<sup>3</sup>

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Received May 12, 1970

**Abstract:** Model calculations of solvent isotope effects for reactions of  $\text{H}_3\text{O}^+$  in  $\text{H}_2\text{O}$  are described. Models for  $\text{H}_2\text{O}$  and  $\text{H}_3\text{O}^+$  take account of librational modes, and force constants are chosen to yield the constant  $L = 9.0$  for the equilibrium  $2\text{D}_3\text{O}^+ + 3\text{H}_2\text{O} \rightleftharpoons 2\text{H}_3\text{O}^+ + 3\text{D}_2\text{O}$ . Primary and secondary isotope effects  $(k_{\text{H}}/k_{\text{D}})_{\text{I}}$  and  $(k_{\text{H}}/k_{\text{D}})_{\text{II}}$  for proton transfer from  $\text{H}_3\text{O}^+$  are calculated. It is found that as the structure of the transition state is varied from reactant-like to product-like the secondary isotope effect increases monotonically, while the primary isotope effect passes through a maximum. The parameter  $\alpha$  defined by  $(k_{\text{H}}/k_{\text{D}})_{\text{II}} = L^{-\alpha/3}$  provides a measure of the extent of proton transfer in the transition state. Contributions from "transfer" isotope effects are taken into account. Deviations from the rule of the geometric mean (RGM) for isotopic exchange equilibria are considered in detail within the framework of Bernstein's rules, and are ascribed mainly to contributions from bending vibrations. The deviations are underestimated by harmonic calculations, but calculations and experiment combined yield practical estimates for both D and T fractionation in  $\text{H}_2\text{O}$  and  $\text{H}_3\text{O}^+$ . Deviations are canceled between  $\text{H}_2\text{O}$  and  $\text{H}_3\text{O}^+$  but cause discrepancies of up to 10% in the fractionation of single exchangeable hydrogens. Their effect upon the separation of primary and secondary kinetic isotope effects for proton transfer from  $\text{H}_3\text{O}^+$  is tested. Maximum discrepancies between experimental methods based on (i) kinetic dependence in  $\text{H}_2\text{O}$ - $\text{D}_2\text{O}$  mixtures, (ii) isotope distribution in the products, and (iii) tritium transfer in  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$  are again 10% and are associated principally with fractionation of the reacting hydrogen. Neglect of deviations from the RGM does not obscure the strong correlations between isotope effects and transition state structure.

It has been recognized for some time that solvent isotope effects<sup>4,5</sup> upon rate-determining proton transfer from the hydronium ion in  $\text{H}_2\text{O}$  and  $\text{D}_2\text{O}$  comprise two contributions; a primary effect  $(k_{\text{H}}/k_{\text{D}})_{\text{I}}$  from the reacting hydrogen of the  $\text{H}_3\text{O}^+$  unit, and a secondary effect  $(k_{\text{H}}/k_{\text{D}})_{\text{II}}$  from the nonreacting hydrogens.<sup>6,7</sup>



Methods have been developed for separating the two

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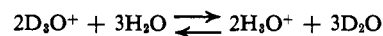
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effects,<sup>8-11</sup> and it has been suggested that  $(k_{\text{H}}/k_{\text{D}})_{\text{II}}$  may be related to the equilibrium isotope effect for the reaction<sup>8,12,13</sup> through a free-energy relation of the form  $(k_{\text{H}}/k_{\text{D}})_{\text{II}} = L^{-\alpha/3}$ , where  $L$  is the equilibrium constant for isotope exchange between  $\text{H}_3\text{O}^+$  and  $\text{H}_2\text{O}$



and  $\alpha$  is a parameter analogous to the exponent of the Brønsted relation.<sup>5,13-15</sup>

This is of interest because  $\alpha$  may be interpreted as an index of the "extent of proton transfer" in the transi-

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